

Số/No.: 684/CBTT-TMS

Thành phố Hồ Chí Minh, ngày 26 tháng 10 năm 2026  
Ho Chi Minh City, October 26<sup>th</sup>, 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG**  
**EXTRAORDINARY INFORMATION DISCLOSURE**

**Kính gửi/To:** - Ủy ban Chứng khoán Nhà nước  
*State Securities Commission of Vietnam*  
- Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh  
*The Ho Chi Minh City Stock Exchange*

- Tên doanh nghiệp: **CÔNG TY CỔ PHẦN TRANSIMEX**  
*Name of enterprise: TRANSIMEX CORPORATION*
  - Mã chứng khoán/Stock code: TMS
  - Địa chỉ trụ sở chính/Head office: 172 Hai Bà Trưng, Phường Tân Định, Thành phố Hồ Chí Minh, Việt Nam/172 Hai Ba Trung Street, Tan Dinh Ward, Ho Chi Minh City, Vietnam.
  - Điện thoại/Telephone: 84-8 2220 2888 Fax: 84-8 2220 2889
  - Email: [info@transimex.com.vn](mailto:info@transimex.com.vn)
- Nội dung thông tin công bố: Ngày 26 tháng 10 năm 2026, Công ty Cổ phần Transimex nhận được Quyết định số 629/QĐ-XPHC đề ngày 21 tháng 10 năm 2026 của Ủy ban Chứng khoán Nhà nước về việc xử phạt vi phạm hành chính trong lĩnh vực chứng khoán và thị trường chứng khoán đối với Công ty cổ phần Transimex.  
*Contents of disclosed information: On 26<sup>th</sup> October 2026, Transimex Corporation received Decision No. 629/QĐ-XPHC dated 21<sup>st</sup> October 2026 issued by the State Securities Commission of Vietnam on the imposition of administrative sanctions against Transimex Corporation for violations in the field of securities and the securities market.*
- Thông tin này đã được công bố trên trang thông tin điện tử của Công ty Cổ phần Transimex vào ngày 26/10/2026 tại đường dẫn [www.transimex.com.vn](http://www.transimex.com.vn).  
*This information was published on the company's website on October 26<sup>th</sup>, 2026, at the link [www.transimex.com.vn](http://www.transimex.com.vn).*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

*We hereby certify that the information provided is true and correct, and we bear the full responsibility under the law.*

**Nơi nhận/recipients:**

- Như trên/ As above;
- Lưu: VT, VP HĐQT.

*Archived: VT, BOD Office.*

**Tài liệu đính kèm/Attachment:**

- Quyết định xử phạt vi phạm hành chính số 629/QĐ-XPHC đề ngày 21 tháng 10 năm 2026 của Ủy ban Chứng khoán Nhà nước;  
*Administrative Sanctioning Decision No. 629/QĐ-XPHC dated October 21<sup>st</sup>, 2026 issued by the State Securities Commission;*

**Người được ủy quyền công bố thông tin**  
**Person authorized to disclose information**



**Nguyễn Thị Thái Nhi**

Chánh Văn phòng Hội đồng quản trị  
*Chief of BOD Office*

No.: 629/QĐ-KPHQ

Hanoi, October 21<sup>st</sup>, 2026

**DECISION  
On administrative sanctions**

**CHIEF INSPECTOR OF THE STATE SECURITIES COMMISSION**

*Pursuant to Articles 57, 68, 70, 78 and 85 of the Law on Handling of Administrative Violations No. 15/2012/QH13, as amended and supplemented by Law No. 67/2020/QH14 and Law No. 88/2025/QH1;*

*Pursuant to Decree No. 118/2021/ND-CP dated 23<sup>rd</sup> December 2021 of the Government detailing a number of articles and measures for implementation of the Law on Handling of Administrative Violations, as amended and supplemented by Decree No. 68/2025/ND-CP and Decree No. 190/2025/ND-CP;*

*Pursuant to Decree No. 189/2025/ND-CP dated 1<sup>st</sup> July 2025 of the Government detailing the Law on Handling of Administrative Violations with respect to the authority to impose administrative penalties;*

*Pursuant to Decree No. 156/2020/ND-CP dated 31<sup>st</sup> December 2020 of the Government on administrative sanctions in the securities and securities market sector ("Decree No. 156/2020/ND-CP"), as amended and supplemented by Decree No. 128/2021/ND-CP;*

*Pursuant to Administrative Violation Record No.: 482/BB-VPHC dated October 14<sup>th</sup>, 2026;*

*Pursuant to Decision No. 02/QĐ-GQXP dated January 5<sup>th</sup>, 2026 of the Chief Inspector of the State Securities Inspectorate on the delegation of authority to impose administrative sanctions.*

**DECIDED:**

**Article 1.**

1. To impose administrative sanctions on the following organization:

Name of violating organization: Transimex Corporation (the "Company").

Head office address: 172 Hai Ba Trung Street, Tan Dinh Ward, Ho Chi Minh City.

Enterprise Registration Certificate, Enterprise Code: 0301874259; Date of issuance: 3<sup>rd</sup> December 1999 (initial registration), 19<sup>th</sup> May 2026 (43<sup>rd</sup> amendment); Issuing authority: Department of Finance of Ho Chi Minh City.

Legal Representative: Bui Tuan Ngoc.

Gender: Male

Title: Chairman of the Board of Directors.

2. The organization has committed the following administrative violations: Failure to submit a report within the prescribed time limit upon a change in the shareholding ratio crossing a threshold of 1% of the total number of voting shares. Transimex Corporation is a major shareholder of Dong Nai Port Joint Stock Company (stock code: PDN), holding securities account No. 011C056666 opened at Ho Chi Minh City Securities Corporation. On 22<sup>nd</sup> March 2022, the Company purchased 55,200 PDN shares, increasing its shareholding from 1,478,390 shares (7.98%) to 1,533,590 shares (8.28%). This resulted in a change in its shareholding ratio crossing a 1% threshold. However, the Company failed to submit the required report within the time limit prescribed by law.

3. Applicable legal provision: Point a, Clause 1, Article 33 of Decree No. 156/2020/ND-CP, as amended and supplemented by Clause 27, Article 1 of Decree No. 128/2021/ND-CP.

4. Aggravating circumstances: None.

5. Mitigating circumstances: None.

6. Administrative sanctions and remedial measures imposed:

a) Principal sanction: Monetary fine.

Specifically, a fine of VND 30,000,000 (Thirty million Vietnamese dong).

b) Additional sanctions: None.

c) Remedial measures: None.

**Article 2.** This Decision shall take effect from the date of signing.

**Article 3.** This Decision shall be:

1. Delivered to Mr. Bui Tuan Ngoc, the legal representative of the sanctioned organization referred to in Article 1 of this Decision, for compliance.

Transimex Corporation referred to in Article 1 shall strictly comply with this sanctioning Decision. Upon expiry of the prescribed period, if Transimex Corporation fails to voluntarily comply, the Decision shall be enforced in accordance with applicable laws. For each day of delay in payment of the fine, Transimex Corporation shall be required to pay an additional amount equal to 0.05% of the total outstanding fine.

Transimex Corporation, the sanctioned organization referred to in Article 1, shall pay the fine to State Treasury Region I, at No. 18 Giai Phong Street, Kim Lien Ward, Hanoi City, or remit the fine to Account No. 7111 of State Treasury Region I, within 10 days from the date of receipt of this Decision.

Transimex Corporation has the right to lodge a complaint against, or initiate an administrative lawsuit in respect of, this Decision in accordance with applicable laws.

2. Forwarded to State Treasury Region I for collection of the fine.

3. Forwarded to Mr. Dinh Huu Tuan – Inspector of the State Securities Inspectorate for implementation./.

***Recipients:***

- As Article 3;
- Chairman of the SSC (for reporting);
- Chief Inspector, for reporting;
- Ho Chi Minh City Stock Exchange (HOSE), for information;
- Archive: Administration, Case File (08b).

**FOR THE CHIEF INSPECTOR  
DEPUTY CHIEF INSPECTOR**

(sealed and signed)

**Vu Hai Son**